# COMPETITIVE ANALYSIS

PREPARED FOR:

INDIVIDUALS AND FAMILIES

Legend Financial Advisors, Inc.®

*Mapping your financial future.*

*Registered Investment Advisor*

# COMPETITIVE ANALYSIS FORM

| | Legend Financial Advisors, Inc.® | Firm #1 | Firm #2 | Firm #3 |
|---|---|---|---|---|
| **Credentials:** | Legend's advisory team has been selected 15 times to Worth magazine's list of "The Best Financial Advisors in America," 10 times to Medical Economics Magazine's "The 150 Best Financial Advisors for Doctors in America," and twice to Mutual Fund Magazine's "The 100 Great Financial Planners in America." | | | |
| **Is A Fiduciary:** | Yes | | | |
| **Compensation Method:** | Fee Only | | | |
| **How Calculated:** | Fixed Fee Guaranteed for two years for both Financial Planning and Investment Management Services or Percentage of Assets for Investment Management Services Only. | | | |
| **Quoted Fee:** | $ | $ | $ | $ |
| **Total Commission Compensation:** | None | | | |
| **Registered as an Investment Advisor:** | Yes | | | |
| **Does the Firm Sell Insurance and Annuities?:** | No | | | |

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| **Does the Firm Sell Investment Products with Commissions?:** | No | | | |
| **Discretionary Accounts:** | Yes, with client input | | | |
| **Investment Management Services:** | | | | |
| **Risk Tolerance Questionnaire:** | Yes | | | |
| **Frequency of Reporting:** | Quarterly (Interim reporting is available for special client requests as well) | | | |
| **Percentage Return Shown:** | Yes: Time-Weighted (Manager's Return) and Internal Rate of Return (Client's Return) | | | |
| **Managing Efficient Tax Loss Selling:** | Yes | | | |
| **Income Tax Reporting of Securities Bought and Sold:** | Yes | | | |
| **Financial Planning Services:** | Yes | | | |
| **Income Tax Projections:** | 2-4 per year | | | |
| **Education Funding Projections and Investment Advice:** | Yes | | | |
| **Retirement Projections:** | Yes | | | |

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| **Retirement Distribution Planning Pre-59½ and Post-70½:** | Yes | | | |
| **Estate Planning Coordination with Attorney, Including Asset Retitling and Coordinating of Beneficiary Designations:** | Yes | | | |
| **Stock Option Planning (ISO's & Non-Qualified Stock Options):** | Yes | | | |
| **Coordination of Entire Financial Affairs with Client's Other Financial Advisors and Financial Institutions:** | Yes | | | |
| **Philanthropic Planning:** | Yes | | | |