

LEGEND FINANCIAL ADVISORS, INC.®
SUMMARY OF INVESTMENT MANAGEMENT SERVICES

HOW TO CONTACT US

- Headquarters address: Legend Financial Advisors, Inc.[®]
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- Telephone number: (412) 635-9210
- Toll-free telephone number: (888) 236-5960
- Fax number: (412) 635-9213
- Web site: www.legend-financial.com
- E-mail address: legend@legend-financial.com

SUMMARY OF INVESTMENT MANAGEMENT SERVICES

Investing:

1. Individually Customized Investment Portfolios
2. In-Depth Risk Tolerance Questionnaire
3. Personalized Investment Policy Statement
4. Unlimited Investment Options
 - a. Over 12,000 Mutual Funds
 - b. Listed And Over-The-Counter Securities
 - c. Equity Options
 - d. Fixed-Income Securities
 - e. Alternative Investments
5. Unlimited access to Legend's Investment Management Team

Reporting:

1. Easy-To-Read Quarterly/Annual Portfolio Performance Reports
2. Comparative Reporting Versus Indexes
3. Quarterly/Annual Meetings To Discuss Portfolio Performance and Composition
4. Monthly Statement From Our Custodian Broker, TD Ameritrade Institutional
5. Availability To View Your Accounts Via Legend's Website

Pricing:

1. Cost Effective Fee Schedule
2. Stock Trading Commissions \$9.99 Charged By TD Ameritrade Institutional
3. Mutual Fund Transaction Cost, If Any, \$24.00 Charged By TD Ameritrade Institutional

Tax Reporting:

1. Calculation Of Cost Basis Of All Securities Transferred In

2. Realized Gain/Loss Reports For Tax Purposes Are Mailed By February 15th To Each Client
3. Interim Realized/Unrealized Gain/Loss Reports For Tax Purposes Available Upon Request
4. Tax-Lot Selling
5. 1099 Provided By TD Ameritrade Institutional

Other Services:

1. Financial Planning E-Newsletter
2. Facilitate Monthly Drafts From Checking Accounts Into Investment Accounts
3. Facilitate Monthly Distributions From Taxable And/Or Retirement Plan Accounts
4. Provide Minimum Distribution Calculations At Age 70½ From Qualified Retirement And IRA Accounts